

Q2 & Q3 Internal Audit Status Update

PRESENTED BY

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Internal Audit

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Internal Auditor in disguise?



The City of
BARRIE

Q2/Q3 Internal Audit Activities

Project	Focus	Status
Stores	Process review	Complete
Cash handling	Process review: Service Barrie	Complete
Entity level controls	Consulting: Code of Conduct	Complete
Entity level controls	Consulting: Fraud & Wrongdoing Program (Whistleblower hotline)	Complete
Cash handling	Process reviews: Legislative and Court Services, Mady Centre	In progress
Use of consultants	Value for money	In progress

Stores



Stores

- Assess efficiency and effectiveness of operations
- Scope
 - Tour of Stores facilities
 - Meetings with staff
 - Review of City records
 - Observation of operations

Stores

Area	# Recommendations
Operations	4
Financial reporting	2
Physical security	1
Policies and procedures	2
Total	9

Cash Handling – Service Barrie



Cash Handling – Service Barrie

- Scope
 - Tour of Service Barrie
 - Meetings with staff
 - Observation of operations
 - Review of City records
- Part of broader corporate wide cash handling review

Cash Handling – Service Barrie

Area	# Recommendations
Operations	2
Physical security	2
Policies and procedures	1
Total	5

Code of Conduct



Code of Conduct

- Updated policy
- Code of Conduct Handbook
- Plain English/FAQs
- Annual acknowledgment of compliance



Fraud & Wrongdoing Program



Fraud & Wrongdoing Program

- Staff currently can report concerns to their departmental manager, HR or IA
- New ability to report with anonymity to an **independent third party operator** (Clearview Connects)
 - Report by phone, website or PO Box
- Protection from retaliation for staff who report

All in a day's work...



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